



Sheet 1 of 2

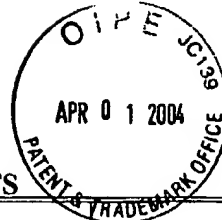
INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 3524/14	Application No.: 09/584,045
	Applicants: Hausman	
	Filing Date: May 30, 2000	Group Art Unit: 2164

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass	Filing Date
SS	5,832,462	11/3/98	Midorikawa et al.			
SS	5,842,178	11/24/98	Giovannoli			
SS	5,924,083	7/13/99	Silverman et al.			
SS	6,260,025	7/10/01	Silverman et al.			
SS	6,282,521	8/28/01	Howorka			
SS	6,317,727	11/13/01	May			
SS	6,343,278	1/29/02	Jain et al.			
SS	6,347,307	2/12/02	Sandhu et al.			
SS	6,421,653	7/16/02	May			
SS	2001/0037284	11/1/01	Finkelstein et al.			
SS	2002/0099651	7/25/02	May			
SS	2002/0082976	6/27/02	Howorka			
SS	2002/0099647	7/25/02	Howorka			
SS	2002/0107781	8/8/02	Neyman et al.			
SS	2002/0116314	8/22/02	Spencer et al.			
SS	2002/0116317	8/22/02	May			

Sumana Snapp

7/14/4



Sheet 2 of 2

FOREIGN PATENT DOCUMENTS

Exam Init.	Document Number	Date	Country	Class	Translation	Filing Date
SS	WO 97/22072	6/19/97	PCT			
SS	WO 97/45802	12/4/97	PCT			
SS	WO 99/19821	4/22/99	PCT			

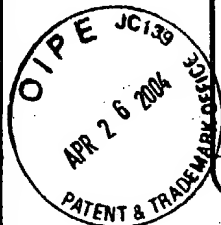
OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

SS	Dealing 200-2, Definitions and Rule Book, Version 6.2 (Reuters Limited, London) 1994
SS	Tafex: Transamerica Foreign Exchange, Tafex Systems Corporation (New York)
SS	Chicago Mercantile Exchange's Proposed Globex Trading System, Division of Trading and Markets, February 2, 1989

Examiner: <i>Sandra Snagor</i>	Date Considered: 7/14/4
--------------------------------	-------------------------

RECEIVED
APR 06 2004
GROUP 3600

PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
is a collection of information unless it contains a valid OMB control number.



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	09/584,045
--------------------	------------

Filing Date	May 30, 2000
--------------------	--------------

First Named Inventor	Andrew Hausman
-----------------------------	----------------

Group Art Unit	3624
----------------	------

Examiner Name	Sandra S. Snapp
---------------	-----------------

Attorney Docket Number	3524/14
------------------------	---------

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Sandra Snapp

Date _____

Considered

7/14/5

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Best Available Copy

+

+

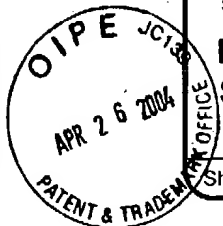
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

Application Number	09/584,045
Filing Date	May 30, 2000
First Named Inventor	Andrew Hausman
Group Art Unit	3624
Examiner Name	Sandra S. Snapp
Attorney Docket Number	3524/14

(use as many sheets as necessary)

Sheet	2	of	2
-------	---	----	---

[illegible]

Sandra E. Gupp

7/14/4

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

+

Best Available Copy